

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Yoshihisa SUZUKI**

Group Art Unit: 2653

Serial No.: 09/964,874

Examiner: **Aristotelis M. PSITOS**

Filed: **September 28, 2001**

Confirmation No.: 1462

For: **OPTICAL DISK DRIVE**

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Attorney Docket No.: 011299

Customer Number: 38834

Technology Center 2600

**INFORMATION DISCLOSURE STATE PURSUANT TO 37 C.F.R. §1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

November 26, 2003

Sir:

The attention of the U.S. Patent and Trademark Office is hereby directed to the documents listed on the attached PTO Form 1449. One copy of each of these documents is attached.

This Information Disclosure Statement is being submitted after the issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to the issuance of either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies:

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement and, to the knowledge of the person signing the certification after making reasonable inquiry, no

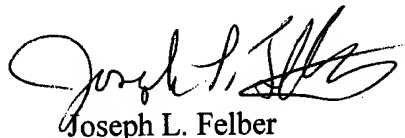
item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO Form 1449 is made of record therein and appear on the first page of any patent to issue therefrom.

The Commission is authorized to charge Deposit Account No. 50-2866 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully Submitted,

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| <b>INFORMATION<br/>DISCLOSURE<br/>CITATION<br/>PTO-1449</b> | Atty. Docket No. 011299         | Serial No. 09/964,874 |
|   | Applicant(s): Yoshihisa SUZUKI  |                       |
|   | Filing Date: September 28, 2001 | Group Art Unit 2653   |

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**U.S. PATENT DOCUMENTS**

Technology Center 2600

| Examiner<br>Initial |  | Document No. | Name | Date | Class | Subclass | Filing Date<br>(If appropriate) |
|---------------------|--|--------------|------|------|-------|----------|---------------------------------|
|                     |  |              |      |      |       |          |                                 |
|                     |  |              |      |      |       |          |                                 |
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|                     |  |              |      |      |       |          |                                 |
|                     |  |              |      |      |       |          |                                 |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initial |  | Document No. | Date       | Country | Translation<br>(Yes or No) |
|---------------------|--|--------------|------------|---------|----------------------------|
|                     |  | 11-134663    | 05-21-1999 | JP      | Abstract                   |
|                     |  | 02-031341    | 02-01-1990 | JP      | Abstract                   |
|                     |  | 09-102147    | 04-15-1997 | JP      | Abstract                   |
|                     |  | 04-283435    | 10-08-1992 | JP      | Abstract                   |
|                     |  | 03-066031    | 03-20-1991 | JP      | Abstract/Claim 4           |

**OTHER DOCUMENTS**

|          |  |  |
|----------|--|--|
|          |  | Copy of JP Office Action dated August 26, 2003 |
| Examiner |  | Date Considered                                |